

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	SSI-011DV4
First Named Inventor	Rolke
Title	METHODS AND COMPOSITIONS FOR SEALING TISSUE LEAKS

**APPLICATION ELEMENTS**

ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form
2. ☒ Small Entity Status  
☐ Applicant claims small entity status  
☒ Status established in prior application and is still proper and desired

**ACCOMPANYING APPLICATION PARTS**

3. ☒ Specification and Drawings [Total Pages 80]  
 - Written Description - (64 pages)  
 - Claims - (15 pages)  
 - Abstract - (1 page)  
 - Sheets of Drawings - (0 sheets)  
☐ Formal  
☐ Informal

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney

9. ☐ English Translation Document (if applicable)

10. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☒ Copies of IDS Citations (B1-B10 and C1-C2)

4. ☒ Oath or Declaration [Total Pages 20]  
 a. ☐ Newly executed (original)  
 b. ☒ Copy from a prior application (37 CFR 1.63(d))  
 (for continuation/divisional with Box 17 completed)  
 [Note Box 5 below]

11. ☒ Preliminary Amendment  
☐ Drawings [Total Sheets ]

12. ☒ Return Receipt Postcard (specifically itemized)

5. ☒ Incorporation by Reference (usable if Box 4b is checked)  
 The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

13. ☐ Certified Copy of Priority Document(s) (if foreign priority claimed)

14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)

6. ☒ Application Data Sheet

15. ☐ CD in duplicate for large table or computer program

7. ☐ Nucleotide and/or Amino Acid Sequence Submission

16. ☐ Other:

**17. ☒ If a CONTINUING APPLICATION:**

This is a ☐ continuation ☐ continuation-in-part ☒ divisional of prior application Serial No. 09/747,293, filed on December 22, 2000, which claims benefit of U.S.S.N. 60/171,859, filed on December 22, 1999, U.S.S.N. 60/199,469, filed on April 25, 2000, and is a continuation-in-part of International Patent Application No. PCT/US99/14232, filed on June 23, 1999, which claims benefit of U.S.S.N. 60/090,609, filed on June 23, 1998, the entire disclosures of which are incorporated by reference herein.

Priority to the above application(s) is claimed under 35 U.S.C. 120.

Prior application information: Examiner: R. Teller. Group/Art Unit: 1653.

**18. ☐ Priority - 35 U.S.C. 119**

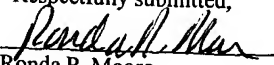
- ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_; and \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_/\_\_\_\_\_ on \_\_\_\_\_.  
☐ The certified copy will follow.

**CORRESPONDENCE ADDRESS**

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
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**SIGNATURE BLOCK**

Date: September 30, 2003  
 Reg. No. 44,244  
 Tel. No.: (617) 248-7044  
 Fax No.: (617) 248-7100

Respectfully submitted,  
  
 Ronda P. Moore  
 Attorney for Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110



09/30/03  
17169 U.S. PTO

Express Mail Label No. EV260608814US

# FEE TRANSMITTAL FY 2003

Complete if Known	
Application Serial Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	Rolke
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	SSI-011DV4

## METHOD OF PAYMENT

- ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
- ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
- ☒ Applicant claims small entity status.

## FEE CALCULATION

### 1. FILING FEE

#### Large Entity

Fee (\$)	Fee Description	Fee Paid
750	Utility filing fee	750.00
330	Design filing fee	
160	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
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Total Claims 10 - 20 = 0 x \$ 18.00 = 0.00

Independent Claims 2 - 3 = 0 x \$ 84.00 = 0.00

☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL: 750.00

SMALL ENTITY DISCOUNT: 375.00

SUBTOTAL (1) (\$) 375.00

### 2. AMENDMENT CLAIM FEES

Claims	Highest No.	Present	Rate	Fee Paid
Remaining	- Previously	Extra		
After Amend.	Paid For			

Total - = x \$ 18.00 =

Indep. - = x \$ 84.00 =

☐ First Presentation of Multiple Dep. Claim + \$280.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 0.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
410	205	Extension for reply within second month	
930	465	Extension for reply within third month	
1450	725	Extension for reply within fourth month	
1970	985	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
750	375	Filing a submission after final rejection (37 CFR 1.129(a))	
750	375	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
110	55	Submission of Terminal Disclaimer	
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) (\$) 0.00

SUBTOTAL (1) 375.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 0.00

TOTAL (\$) 375.00

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Respectfully submitted,

Date: September 30, 2003  
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